

UTILITY PATENT APPLICATION TRANSMITTAL

Address to: Mail Stop PATENT APPLICATION Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket No. 2003-040-DSK	19270 U.S. PTO 10/712540
	Inventor(s) or Appln. Identifier MICHAEL V. KONSHAK	



1. This application entitled SMALL FORM FACTOR DISK DRIVE CARRIER is:
- a. X A new application under 37 C.F.R. § 1.53(b).
 - b. A continuation divisional or continuation-in-part application under 37 C.F.R. § 1.53(b) of prior application Serial No. / filed on , entitled .
 - c. A new utility application which claims the benefit of provisional application Serial No. , filed on .

Application elements and other attached papers:

- 2. X Specification (including Claims and Abstract) [Total Pages 12]
- 3. X Drawings (informal / formal) [Total Sheets 5]
- 4. X Oath or Declaration
 - a. x Newly-executed
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
- 5. Incorporation By Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- 6. This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53(d)(4).
 - a. **DELETE** the following inventor(s) named in the prior nonprovisional application:
 - b. The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

CERTIFICATION UNDER 37 C.F.R. § 1.10	
I hereby certify that this UTILITY PATENT APPLICATION TRANSMITTAL and the documents referred to as attached therein are being deposited on the below date with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" addressed to: Mail Stop Patent Application, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
Express Mail Label No. <u>ER148820974US</u>	Stephanie Klepp (Type or print name of person mailing paper)
Date of Deposit: <u>11/13/2003</u>	 (Signature of person mailing paper)

7. Preliminary Amendment:

- a. ☐ A Preliminary Amendment Under 37 C.F.R. § 1.115 is attached.
- b. ☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee.
- c. ☐ Please amend the specification by inserting before the first line the sentence:
 "This is a _____ continuation _____ divisional of copending
 application(s) serial number _____ / _____ filed on _____."
- d. ☐ A Petition to Suspend Prosecution For The Time Necessary to File An Amendment (New Application Filed Concurrently) is attached.

8. Small entity status:

- a. ☐ Applicant claims small entity status.
- b. ☐ Small entity status was claimed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer desired.

9. Fee Calculation:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS (37 C.F.R. § 1.16(c))	18 -20 =	--	x 18.00	--
INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b))	3 -3 =	--	x 86.00	--
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. §1.16(d))			290.00	--
BASIC FEE (37 C.F.R. § 1.16(a))				770.00
TOTAL OF ABOVE CALCULATIONS				770.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY (NOTE 37 C.F.R. §§ 1.9, 1.27, 1.28)				--
\$40.00 ASSIGNMENT RECORDAL FEE (if applicable)				40.00
TOTAL				810.00

10. ☐ A check in the amount of \$_____ is enclosed.
11. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees (or any deficiency therein) to Storage Technology Corporation Deposit Account No. 19-4545 :
- a. ☒ Fees required under 37 C.F.R. § 1.16.
- b. ☒ Fees required under 37 C.F.R. § 1.17.
12. Maintenance of Copendency of Prior Application

☐ A request for extension of time and the appropriate fee have been filed in the pending prior application (or are being filed in the prior application concurrently herewith) to extend the period for response until _____.

13. X An Information Disclosure Statement (IDS) is attached, along with the following indicated attachments thereto:
- a. X Form PTO/SB/08A (1 sheet)
- b. Copies of references cited
14. Certified copy of priority document(s) AND English Translation Document (if applicable)
15. X Nonpublication Request Under 37 C.F.R. § 1.213(a) is attached
- The undersigned certifies that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
16. Request for Early Publication Under 37 C.F.R. § 1.219
17. X Return Receipt Postcard
18. Other: _____
19. X An Assignment of the invention to Storage Technology Corporation having an address of One StorageTek Drive, MS-4309, Louisville, Colorado 80028-4309
- a. X is attached.
- b. was recorded on _____ at Reel _____, Frame _____.
- c. Applicant requests that the above assignee information be published with the application.
20. The power of attorney in the prior application is to:

Name of Attorney of Record

Reg. No.


- _____ The power appears in the original papers in the prior application.
 _____ The power does not appear in the original papers, but was filed on _____.
 _____ A new power has been executed and is attached.

21. **Correspondence Address:** Please address all future communications to:

Timothy R. Schulte,
Storage Technology Corporation
One StorageTek Drive, MS-4309
Louisville, Colorado 80028-4309
Telephone: 303-673-5989; Fax: 303-673-4151

Respectfully submitted,

Date November 10, 2003


Name: Timothy R. Schulte
Registration No.: 29,013

 x Attorney or agent of record
Filed under Rule 34(a)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MICHAEL V. KONSHAK

Serial No.: Unknown

Filed: Filed Herewith

For: SMALL FORM FACTOR DISK DRIVE CARRIER

Attorney Docket No.: 2003-040-DSK

**NONPUBLICATION REQUEST
UNDER 37 C.F.R. § 1.213(a)**

Mail Stop Patent Application
Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant hereby requests that the above-identified application, submitted herewith, not be published under 35 U.S.C. § 122(b). Applicant certifies that the invention disclosed in the application identified above has not been, and will not be, the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at 18 months after filing.

Respectfully submitted,

MICHAEL V. KONSHAK

By: 

Timothy R. Schulte

Reg. No. 29,013

Attorney for Applicant

Date: November 10, 2003

STORAGE TECHNOLOGY CORPORATION
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